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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/749,149
Filing Date	December 30, 2003
First Named Inventor	Michael Chiviendacz
Art Unit	2613
Examiner Name	na
Attorney Docket Number	10500.03.0717

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -IDS Cover Sheet -Copies of references (7) -return postcard
<input type="text"/> Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Vedder, Price, Kaufman & Kammholz, P.C.		
Signature	<i>Christopher J. Reckamp</i>		
Printed name	Christopher J. Reckamp		
Date	4/25/05	Reg. No.	34,414

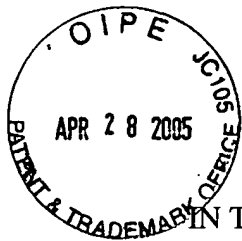
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Signature	<i>Christine A. Wright</i>		
Typed or printed name	Christine A. Wright	Date	4-25-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael Chiviendacz et al. Examiner: na
Serial No.: 10/749,149 Art Unit: 2613
Filing Date: December 30, 2003 Docket No.: 10500.03.0717

Title: **METHOD AND APPARATUS FOR SECURELY PROVIDING
IDENTIFICATION INFORMATION USING TRANSLUCENT
IDENTIFICATION MEMBER WITH FILTER**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Christine A. Wright

INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(c) and 1.98, Applicants respectfully submit the following statement consisting of:

1. A list of documents; and
2. General remarks.

A copy of the listed documents is enclosed herewith along with Forms PTO/SB/08A and PTO/SB/08B.

1. Documents

- a. U.S. Patent No. 4,189,353; issued February 19, 1980 to Harriman;
- b. U.S. Patent No. 6,438,550B1; issued August 20, 2002 to Doyle et al.;
- c. U.S. Publication No. 2003/0015866A1; published January 23, 2003 to Cioffi et al.;

- d. U.S. Publication No. 2003/0141373A1; published July 31, 2003 to Lasch et al.;
- e. "Proposed Solutions to Address the Threat of Email Spoofing Scams"; The Anti-Phishing Working Group; December, 2003; pages 1-10;
- f. "Authenticated Email Primer: Tumbleweed Jumps Into the Fray"; America's Growth Capital; April 8, 2004; pages 1-13;
- g. "The Dryad Numeral Cipherauthentication System"; from <https://hosta.atsc.eustis.army.mil>; printed May 11, 2004; pages 1-12;
- h. "What are PassMarks?"; PassMark; from www.passmarksecurity.com; printed April 21, 2005; page 1;
- i. European Patent Office International Search Report for International Application No. PCT/IB2004/002228 dated January 24, 2005; and
- j. European Patent Office Written Opinion for International Application No. PCT/IB2004/002228 dated January 24, 2005.

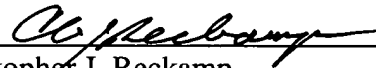
REMARKS

This Information Disclosure Statement is being filed before the issuance of a first office action. As such, there is no fee due for the filing of such information disclosure statement.

The submission of the above documents is not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed documents be considered and made of record in the present application.

Respectfully submitted,

Date: 4/25/05

By: 
Christopher J. Reckamp
Registration No. 34,414

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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/749,149
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First Named Inventor	Michael Chiviendacz
Art Unit	2613
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Attorney Docket Number	10500.03.0717

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
OIPE		The Anti-Phishing Working Group; Proposed Solutions to Address the Threat of Email Spoofing Scams; December 2003; pages 1-10.	
APR 28 2005		America's Growth Capital; Authenticated Email Primer: Tumbleweed Jumps Into the Fray; April 8, 2004; pages 1-13.	
		The Dryad Numeral Cipherauthentication System; from https://hosta.atssc.eustis.army.mil; printed May 11, 2004; pages 1-12.	
		PassMark; What are PassMarks?; from www.passmarksecurity.com; printed April 21, 2005; page 1.	
		European Patent Office International Search Report for International Application No. PCT/IB2004/002228 dated January 24, 2005.	
		European Patent Office Written Opinion for International Application No. PCT/IB2004/002228 dated January 24, 2005.	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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